# Development of learning professionalism assessment battery for medical residents

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#### ABSTRACT

**Background:** Professionalism is an exit learning outcome of health professions' curricula. Much work has focused on professionalism teaching and little on the ways assessing it. The main goal was to develop composite assessment instrument as a battery accurately measured several aspects of learning professionalism in medical residents.

**Methods:** The first step in battery development was to decide on the domains to be addressed. Based on the comprehensive review of the literature, a list of domains to assess was developed and identified specific assessment instruments for each domain. Based on the instrument selection criteria, forty one Assessment instruments were selected and developed to comprise the assessment battery.

**Results:** The results are presented in 6 Section tests: duration, cost of each section, administration instruction, and scoring. The time required to complete the entire assessment battery was 100 minutes on average.

**Conclusions:** The resulting battery was developed by selecting a set of validated assessment instruments and combining them into a battery. The assessment battery is easy to administer, easy for residents to complete, and provides a comprehensive assessment of a residents' learning professionalism for teachers.

Keywords: professionalism, learning, assessment, battery, residents

#### INTRODUCTION

Professionalism is an employee characteristic which refers to ability to hold professional standards and to maintain the professional competence to be expected. A professional is someone who has completed formal education and training knowledge and skills necessary to perform the role of that profession. In addition, professionals are subject to codes of ethical and moral conduct. Professional standards of practice and ethics for a particular field are typically agreed upon and maintained through widely recognized professional associations<sup>1</sup>.

Residency is postgraduate training in medical education. It refers to who practices medicine in a clinical setting under the direct or indirect supervision of a senior medical clinician in a specialty such as Pediatrics, Radiology, Dermatology, Internal medicine, Surgery, Ophthalmology and etc<sup>2</sup>.

Professionalism is an exit learning outcome of health professions' curricula<sup>3</sup>. Professionalism key attributes should be learnt during the curriculum<sup>4</sup>. Health professions' teacher can use various teaching and learning methods for professionalism such as role modeling to promote it<sup>5</sup>. Various types of assessing methods of professionalism can use Identifying learning professionalism deficiencies<sup>6</sup>. There is variety of tools for assessing learning professionalism, each of which assesses one aspect of professionalism<sup>7</sup>.

There are various Structured, standardized and effective measures for measuring residents' professionalism which developed during the past decade such as rating scales, direct observation, multi-source feedback, and etc<sup>8</sup>.

If all dimensions of professionalism be considered in teaching professionalism, learning manifestation seen in professional behavior. The assessment battery is a composite instrument helps to identify declines in professionalism learning<sup>9</sup>. The main goal of this study was to develop composite assessment instrument as a comprehensive battery of professionalism assessment accurately measured several aspects of learning professionalism in medical residents.

## MATERIALS AND METHODS

Development of Assessment Battery: The first step in battery development was to decide on the assessment domains and areas to be addressed(10). Based on the comprehensive review of the literature, a list of domains and areas within the domains to assess was developed. Through the search of the electronic databases until 2020, was administered to identify studies assessing learning professionalism in medical residents. Search strategy included a combination of the five aspects including construct, population, instrument, and measurement properties, exclusion filter, developed(11). Some of the instruments assesses professionalism as a facet of competence; some others assessing professionalism as a comprehensive construct; and the others instruments separate elements of professionalism, such as humanism and empathy<sup>12,13</sup>. This study included all instruments which used assessing learning professionalism to develop a

comprehensive assessment battery for medical residents. Screening the titles and abstracts of the included full texts for target population was residents and considering full texts which described the measurement properties of the instrument as shown in the following table.

Table 1: Professionalism domains and related assessment instruments		
Domains/ assessment area	Type of instrument	Citation
Excellence; Honor/integrity; Altruism/respect; Accountability to Patients, Society and the Profession; Commitment to Ethical Principles; Responsiveness to the Needs of Patients and Society that Supersedes Self –interest; Commitment to Excellence and Ongoing Professional Development; Demonstrate Respect, Compassion, and Integrity; Demonstrate Sensitivity and Responsiveness to Patients; Empathy; Interpersonal relationships with patients; Conveying medical information to patients;	Self-administrated rating	(14) (15) (16) (17) (18) (19)
Punctuality; Appearance; Honesty/accountability/response to error; Compulsiveness; Responsibility/sense of duty; Response to criticism; Confidence/ability to assess oneself; Respect for others; Initiative/self-regulation; Altruism; Doctor-patient or doctor-family relationships; Inter-professional relationships; Trustworthiness/confidentiality; Moral and ethical standards; Attitude toward medical profession; Doctor-patient relationship skills; Reflective skills; Time management; Inter-professional relationship skills; Integrity; Detachment and commitment; Respect; Trans mural care; Cooperation with specialists; Cooperation with support personnel; Accountability; Ability to make use of the opportunities of the profession; Norms and values; Self-reflection; Self-confidence; Self-welfare; Deal with patient diversity; Leadership; Collegiality; Quality management; Practice management; Provide and receive feedback; Life-long learning; Resilience; Dealing with mistakes; Dealing with uncertainty; Cope with aggression; Respecting patient's interests; Professional distance; Collaboration skills; Management skills; Responsibility; Quality management; Reflection and learning; Dealing with emotions; Courteousness and respect in interaction with patients; Communication with patients; Collaboration with patients and colleagues; Dedication and sense of responsibility; Knowing one's own limits and receptiveness for feedback; Altruism, trust, and patient interest; Patient autonomy; Social justice; Responsibility to patients; Respect for patients	Direct observation	(20) (21) (22) (23) (24) (13)
Altruism, trust, and patient interest; Patient autonomy; Social justice; Responsibility to patients; Respect for patients	simulation	(13)
Altruism, trust, and patient interest; Patient autonomy; Social justice; Responsibility to patients; Respect for patients	Multi Source Feedback	(13)
Listening; trustworthiness; answering directly; respect;	Role model evaluation	(17)
Accountability to Patients, Society and the Profession; Commitment to Ethical Principles; Commitment to Excellence and Ongoing Professional Development; Demonstrate Respect, Compassion, and Integrity	professionalism environment	(17)

Table 2: Names of forty one assessment instruments used in the battery

Test No	Name	Citation
1	Arnold scale-14 items – self-administered rating	(12) 12
2	Arnold scale-12 items- self-administered rating	(15) 15
3	Arnold scale-17 items- self-administered rating	(16) 16
4	Gillespie's scale - self-administered rating	(17) 17
5	Hotjat's Jefferson competency scale- self-administered rating	(18) 18
6	ABIM Patient Assessment-self assessment version- Self-administrated rating	(19) 19
7	UMDSPAI - direct observation	(20) 20
8	P-MEX- direct observation	(21) 21
9	EPRO-GP instrument - direct observation	(22)22
10	Nijmegen Professionalism Scale - direct observation	(23) 23
11	Adaptation of AACS for foreigner - direct observation	(24) 24
12	p-mini-CEX - direct observation	(13) 13
13	ACGME-TRF- Direct observation	(25) 25
14	Global rating form for ACGME competencies- Direct observation	(26) 26
15	OCEX- Direct observation	(27) (28)
16	ACGME general competencies- Direct observation	(29) 29
17	Durning's Supervisor's evaluation form- Direct observation	(30) 30
18	Durning's Supervisor's evaluation form-PGY3- Direct observation	((31) 31
19	COMPASS- Direct observation	(23)
20	ITER- Direct observation	(32)
21	Dong's Graduates Form- Direct observation	(33)
22	ECFMG-CSA-simulation	(34) 34
23	p-OSCE—simulation	(35)35
24	SDOT- Simulation	(36)36
25	Jefferies's OSCE of CanMEDS Roles- Simulation	(37) 27
26	Ponton-Carss Checklist of OSPRE- Simulation	(38) 38
27	RO&CA- Simulation	(39)39
28	ACGME competency checklist of OSCE- Simulation	(40) 40
29	CanMEDS OSCE- Simulation	(13) 13
30	p-360°evaluation -multi source feedback	(35) 35
31	Musick 360-degree instrument- Multi Source Feedback	(41) 41
32	Wood's 360-degree evaluation- Multi Source Feedback	(42) 42
33	End-of-rotation evaluations- Multi Source Feedback	(43)43
34	EOS group 360-degree instrument -Multi Source Feedback	(44) (44)
35	Senol's Turkish 360-degree assessment- Multi Source Feedback	(45) 45
36	CPSA-PAR MSF for international graduates- Multi Source Feedback	(46) 46
37	Ephgrave's Assessment -Role model evaluation	(47) 47
38	Faculty Supervision Evaluation- Role model evaluation	(48) 48
39	Colletti evaluation of clinical educators- Role model evaluation	(49) 49
40	Smith instrument- Role model evaluation	(50) 50
41	Gillespie's scale- professionalism environment	(17) 17

The literature search was also conducted to identify specific assessment instruments for each area. The list of

instruments was organized by the assessment domain and the function assessed. To select instruments for the assessment battery the criteria were used: such as easy and quick to administer, low cost, presence of reliability and validity data, specific for a residents. Based on these criteria 41 Assessment instruments were selected and developed to comprise the assessment battery. Details about each section of battery including the required materials, the area(s) they were designed to assess, administration instructions, scoring instructions, and references are included in our assessment Battery.

**Subjects of study:** Subjects and target population of this battery are medical residents of internal medicine, psychiatry, emergency medicine, pediatrics, surgery, medicine Primary care, obstetrics, gynecology, general practice, neurology, ophthalmology, Radiology, dermatology, anesthesia.

## RESULTS

The results are presented in 6 Section tests: duration, cost of each section, administration instruction, and scoring. The time required to complete the entire assessment battery was 100 minutes on average. Note that some of the tests require special equipment and the other instruments can be administered from common office materials or reproduced without a fee.

Table 3: Time required completing the entire assessment battery and costs

Test name	Time	Cost
self-administered rating	20 min	\$20
direct observation	30 min	\$30
simulation	15 min	\$ 15
multi source feedback	15 min	\$ 15
Role model evaluation	10 min	\$ 10
professionalism environment	10 min	\$ 10
Assessment battery	100 minutes	\$100

## DISCUSSION

The resulting battery was developed by selecting a set of validated assessment instruments and combining them into a battery, whose total cost for acquisition was \$100. As part of this battery, six tests were developed utilizing items from established validated questionnaires and measures with minor modifications. The study found that the assessment battery required on average less than 100 minutes completing. In conclusion, the assessment battery developed by this study, is easy to administer, easy for residents to complete, and provides a comprehensive assessment of a residents' learning professionalism for teachers. We believe that the assessment battery would serve as a valuable data collection tool for a longitudinal study of learning professionalism in medical graduates.

Testing of the battery entailed administrating the battery to a convenience sample of residents is proposed. The battery should be conducted a process evaluation of the battery to ensure that it was acceptable to teachers and learners; obtained high data-quality; and was easily administered. The time required to complete various sections of the battery should be measured. Feedback about the battery should be gathered from all residents and the battery administrator. In general, residents' comments should be gathered about the battery. The test administrator comments should be gathered about the ease of administering each of the tests, all the comments help us to do modifications about the battery, remove some sections from the assessment battery and or add any extra required features to it. The assessment battery developed by this study, is easy to administer, easy for residents to complete, and provides a comprehensive assessment of a residents' learning professionalism for teachers.

**Ethics approval and consent to participate:** All methods were carried out in accordance with relevant guidelines and regulations. All protocols were approved by Ethics Committee in Biomedical Research of Iran University of Medical Sciences (IR.IUMS.REC.1400.).

Consent for publication: Not applicable.

**Availability of data and materials:** The datasets used and/or analyzed during the current study are available from the corresponding authors on reasonable request.

**Conflict of interest:** The authors declare that there is no conflict of interest.

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Authors' contributions: Soltani Arabshahi S.A. was involved in planning and supervised the work, Akbarilakeh M. processed and performed the review, analysis, drafted the manuscript and designed the battery. Mohammadi Kenari H. Involved in review, analysis, drafted the manuscript. Abdalla M.E involved in review, analysis, drafted the manuscript. They wrote the manuscript with scientific support and helped supervise the project Akbarilakeh M. involved in interpreting the results, worked on the manuscript and edited it. All authors discussed the results and commented on the manuscript. All authors read the final copy of manuscript and confirmed it for submission.

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